

UNITED STATES PATENT AND TRADEMARK OFFICE

Contrassioner for Palents, Box PCT United States Patent and Trademark Office Washington, E.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/019,409 Masahiro Iwakura 04853.0084

INTERNATIONAL APPLICATION NO.

PCT/JP00/02112

1.A. FILING DATE PRIORITY DATE

Ernest F Chapman finnegan Henderson Farabow Garrett & Dunner 1300 I Street NW Washington, DC 20005-3315

03/31/2000 06/29/1999

CONFIRMATION NO. 3873
371 FORMALITIES LETTER

*OC000000007612397

Date Mailed: 03/12/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- · U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

RECEIVED

MAR 18 2002

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- See attached Raw Sequence Listing Error Report.
- APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600 \
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

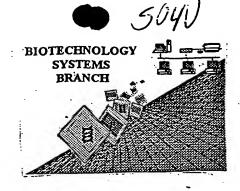
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/019,409	PCT/JP00/02112	04853.0084

FORM PCT/DO/EO/905 (371 Formalities Notice)

Docketed 3.18-2 Attorney DRF hum 1/2 80
Case 4853.084
Due Date 5.1202 - Ser
Action 80 43578

#4

RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: |0/0/9, 409|Source: |99/10|Date Processed by STIC: |/22/2002|

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom, including:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
 U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202



PCT10

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/019,409

-> 99 ggttggacta caggttcgcc atttaggacg ataaactata atgccggagt ttgggcgccg -> 100 aatggcaatg gatatttaac tttatatggt tggacgagat cacctctcat agaatattat -> 101 gtagtggatt catggggtac ttatagacct actggaacgt ataaaggtac tgtaaaaagt

DATE: 01/22/2002 TIME: 10:40:21

pg 1-3

Input Set : A:\Es.txt

Output Set: N:\CRF3\01182002\J019409.raw

Does Not Comply
Corrected Diskette Needed

2 <110> APPLICANT: Japan as Represented by Secretary of Agency of Industrial Science and 5 <130> FILE REFERENCE: PH-911-PCT
6 <140> CURRENT APPLICATION NUMBER:
7 <141> CURRENT FILING DATE: 2001-12-28
8 <150> PRIOR APPLICATION NUMBER: JP99/183664
9 <151> PRIOR FILING DATE: (29-JUN-1999) 1499-06-29 C- use this date format
10 <160> NUMBER OF SEQ ID: 10
11 <170> SOFTWARE: Patentin Ver. 2.0 --> 10 <160> NUMBER OF SEQ ID: 10 RRORED SEQUENCES 40 <210> SEQ ID NO: 2 41 <211> LENGTH: 566
42 <212> TYPE: DNA
43 <213> ORGANISM: E. coli
44 <221> NAME/KEY: CDS
45 <222> LOCATION: (81)...(557)
46 <400> SEQUENCE: 2
47 ggatccttga caattagtta actatttgtt ataatgtatt catgagctta actaactaat
40 /2237 / 41 <211> LENGTH: 566 --> 46 <400> SEQUENCE: 2 --> 47 ggatccttga caattagtta actatttgtt ataatgtatt catgagctta actaactaat 120 --> 48 ccggaaaagg aggaacttcc atgatcagtc tgattgcggc gctagcggta gatcgcgtta --> 49 teggeatgga aaacgecatg ceatggaace tgeetgeega tetegeetgg tttaaacgea --> 50 acaccttaaa taaacccgtg attatggggc gccatacctg ggaatcaatc ggtaggcctt --> 51 tgcccggccg caaaaatatt atcctcagca gtcaacccgg gaccgatgat cgggttacct --> 52 gggttaaatc ggtcgacgaa gccatcgcgg ccgcaggtga cgtaccagaa atcatggtga --> 53 ttggcggcgg acgcgtttat gaacagttct tgccaaaagc gcaaaagctt tatctgacgc --> 54 atatcgatgc agaagtggaa ggcgacaccc attttccgga ttacgagccg gatgactggg --> 55 aatcggtatt cagcgaattc cacgatgctg atgcgcagaa ctcgcatagc tattcgttcg .-> 56 aaatcctcga gcgtcgttaa ggatcc 90 <210> SEQ ID NO: 4 91 <211> LENGTH: 558 92 <212> TYPE: DNA 93 <213> ORGANISM: B. subtilis)(22076-11114) 95 <222> LOCATION: (1)...(555) .-> 96 <400> SEQUENCE: 4 --> 97 gctagcacag actactggca aaattggact gatgggggcg gtatagtaaa cgctgtcaat -> 98 gggtctggcg ggaattacag tgttaattgg tctaataccg gaaattttgt tgttggtaaa

DATE: 01/22/2002

TIME: 10:40:21

PATENT APPLICATION: US/10/019,409 Input Set : A:\Es.txt Output Set: N:\CRF3\01182002\J019409.raw --> 102 gatgggggta catatgacat atatacaact acacgttata acgcaccttc cattgatggc --> 103 gatcgcacta cttttacgca gtactggagt gttcgccagt cgaagagacc aaccggaagc --> 104 aacgctacaa tcactttcag caatcatgtg aacgcatgga agagccatgg aatgaatctg --> 105 ggcagtaatt gggcttacca agtcatggcg acagaaggat atcaaagtag tggctcgtcg --> 106 aatgttaccg tatggtaa 136 <210> SEQ ID NO: 6 137 <211> LENGTH: 569 138 <212> TYPE: DNA 139 <213> ORGANISM: E. coli 140 <221> NAME/KEY: CDS 141 <222> LOCATION: (81)...(560) --> 142 <400> SEQUENCE: 6 --> 143 ggatccttga caattagtta actatttgtt ataatgtatt catgagctta actaactaat --> 144 ccggaaaagg aggaacttcc atggcaatca gtctgattgc ggcgctagcg gtagatcgcg --> 145 ttatcggcaa cgaaaacgcc ctcccatgga acctgcctgc cgatctcgcc tggtttaaac --> 146 gcaacacett aaataaacee gtgatttacg ggcgccatac ctgggaatca atcggtagge --> 147 ctttgcccgg ccgcaaaaat attatcctca gcagtcaacc cgggaccgat gatcgggtta --> 148 cctgggttaa atcggtcgac gaagccatcg cggccgcagg tgacgtacca gaaatcttcg --> 149 tgattggcgg cggacgcgtt tatgaacagt tcttgccaaa agcgcaaaag ctttatctga --> 150 cgcatatcga tgcagaagtg gaaggcgaca cccattttcc ggattacgag ccggatgact --> 151 gggaatcggt attcagcgaa ttccacgatg ctgatgcgca gaactcgcat agctattcgt --> 152 tcgaaatcct cgagcgtcgt taaggatcc --> 211 <213> ORGANISM: L-MANDATORY response needed (this ever olse quite in Segs 7-10)
--> 212 <400> SEQUENCE: 8
--> 213 ggatostta---> 213 ggatccttga caattagtta actatttgtt ataatgtatt catgagctta actaactaat --> 214 ccggaaaagg aggaacttcc atggcaatca gtctgattgc ggcgctagcg gtagatcgcg --> 215 ttatcggcaa cgaaaacgcc ctcccatgga acctgcctgc cgatctcgcc tggtttaaac --> 216 gcaacacctt aaataaaccc gtgatttacg ggcgccatac ctgggaatca atcggtaggc --> 217 ctttgcccgg ccgcaaaaat attatcctca gcagtcaacc cgggaccgat gatcgggtta --> 218 cctgggttaa atcggtcgac gaagccatcg cggccgcagg tgacgtacca gaaatcttcg --> 219 tgattggcgg cggacgcgtt tatgaacagt tcttgccaaa agcgcaaaag ctttatctga --> 220 cgcatatcga tgcagaagtg gaaggcgaca cccattttcc ggattacgag ccggatgact --> 221 gggaatcggt attcagcgaa ttccacgatg ctgatgcgca gaactcgcat agctattcgt --> 222 togaaatoot ogagogtogt ggtggoggtg gotogggtgg tggcggcgct agcacagact --> 223 actggcaaaa ttggactgat gggggcggta tagtaaacgc tgtcaatggg tctggcggga --> 224 attacagtgt taattggtct aataccggaa attttgttgt tggtaaaggt tggactacag .-> 225 gttcgccatt taggacgata aactataatg ccggagtttg ggcgccgaat ggcaatggat --> 226 atttaacttt atatggttgg acgagatcac ctctcataga atattatgta gtggattcat --> 227 ggggtactta tagacctact ggaacgtata aaggtactgt aaaaagtgat gggggtacat .-> 228 atgacatata tacaactaca cgttataacg caccttccat tgatggcgat cgcactactt .-> 229 ttacgcagta ctggagtgtt cgccagtcga agagaccaac cggaagcaac gctacaatca .-> 230 ctttcagcaa tcatgtgaac gcatggaaga gccatggaat gaatctgggc agtaattggg

.-> 231 cttaccaagt catggcgaca gaaggatatc aaagtagtgg ctcgtcgaat gttaccgtat

RAW SEQUENCE LISTING

288 <210> SEQ ID NO: 10 289 <211> LENGTH: 1153

--> 232 ggtaaggatc ccc

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/019,409 DATE: 01/22/2002 TIME: 10:40:21

Input Set : A:\Es.txt

Output Set: N:\CRF3\01182002\J019409.raw

--> 291 <212> TYPE: DNA --> 291 <213> ORGANISM: Linsert mandetary reporte --> 292 <400> SEQUENCE: 10

--> 293 ggatccttga caattagtta actatttgtt ataatgtatt catgagctta actaactaat --> 294 ccggaaaagg aggaacttcc atggcaatca gtctgattgc ggcgctagcg gtagatcgcg --> 295 ttatcggcaa cgaaaacgcc ctcccatgga acctgcctgc cgatctcgcc tggtttaaac --> 296 gcaacacctt aaataaaccc gtgatttacg ggcgccatac ctgggaatca atcggtaggc .-> 297 ctttgcccgg ccgcaaaaat attatcctca gcagtcaacc cgggaccgat gatcgggtta .-> 298 cctgggttaa atcggtcgac gaagccatcg cggccgcagg tgacgtacca gaaatcttcg --> 299 tgattggcgg cggacgcgtt tatgaacagt tcttgccaaa agcgcaaaag ctttatctga .-> 300 cgcatatcga tgcagaagtg gaaggcgaca cccattttcc ggattacgag ccggatgact .-> 301 gggaatcggt attcagcgaa ttccacgatg ctgatgcgca gaactcgcat agctattcgt --> 302 tegaaateet egagegtegt ggtggeggtg getegggtgg tggeggeget ageacagaet --> 303 actggcaaaa ttggactgat gggggcggta tagtaaacgc tgtcaatggg tctggcggga --> 304 attacagtgt taattggtct aataccggaa attttgttgt tggtaaaggt tggactacag -> 305 gttcgccatt taggacgata aactataatg ccggagtttg ggcgccgaat ggcaatggat -> 306 atttaacttt atatggttgg acgagatcac ctctcataga atattatgta gtggattcat -> 307 ggggtactta tagacctact ggaacgtata aaggtactgt aaaaagtgat gggggtacat -> 308 atgacatata tacaactaca cgttataacg caccttccat tgatggcgat cgcactactt

-> 311 cttaccaagt catcgcgaca gaaggatatc aaagtagtgg ctcgtcgaat gttaccgtat

-> 309 ttacgcagta ctggagtgtt cgccagtcga agagaccaac cggaagcaac gctacaatca -> 310 ctttcagcaa tcatgtgaac gcatggaaga gccatggact caatctgggc agtaattggg

-> 312 ggtaaggatc ccc

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/019,409

DATE: 01/22/2002 TIME: 10:40:22

Input Set : A:\Es.txt

:291 M:201 W: Mandatory field data missing, <213> ORGANISM

:292 M:283 W: Missing Blank Line separator, <400> field identifier :293 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:60 SEQ:10

Output Set: N:\CRF3\01182002\J019409.raw

:4 M:283 W: Missing Blank Line separator, <120> field identifier :5 M:283 W: Missing Blank Line separator, <130> field identifier :6 M:283 W: Missing Blank Line separator, <140> field identifier :7 M:271 C: Current Filing Date differs, Replaced Current Filing Date :9 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD :10 M:283 W: Missing Blank Line separator, <160> field identifier :12 M:283 W: Missing Blank Line separator, <210> field identifier :16 M:283 W: Missing Blank Line separator, <400> field identifier :46 M:283 W: Missing Blank Line separator, <400> field identifier :47 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:60 SEQ:2 :254 Repeated in SeqNo=2 :62 M:283 W: Missing Blank Line separator, <400> field identifier :96 M:283 W: Missing Blank Line separator, <400> field identifier :97 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:60 SEQ:4 :254 Repeated in SeqNo=4 :112 M:283 W: Missing Blank Line separator, <400> field identifier :142 M:283 W: Missing Blank Line separator, <400> field identifier :143 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:60 SEQ:6 :254 Repeated in SeqNo=6 :157 M:201 W: Mandatory field data missing, <213> ORGANISM :158 M:283 W: Missing Blank Line separator, <400> field identifier :211 M:201 W: Mandatory field data missing, <213> ORGANISM :212 M:283 W: Missing Blank Line separator, <400> field identifier :213 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:60 SEQ:8 :254 Repeated in SeqNo=8 :237 M:201 W: Mandatory field data missing, <213> ORGANISM :238 M:283 W: Missing Blank Line separator, <400> field identifier

:254 Repeated in SeqNo=10